PATENT APPLICATION

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of

Docket No: Q66754

Takushi TAKIZAWA, et al.

Appln. No.: 09/993,688

Group Art Unit: 2834

Confirmation No.: 7306

Examiner: MULLINS, Burton S.

Filed: November 28, 2001

For:

AUTOMOTIVE ALTERNTOR

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore Applicants are filing concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 09/993,688

Attorney Docket No. Q66754

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicants enclose herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents, together with an English-language version (if not already included) of that portion of the Communication indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

Billy Carter Raulerson

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WASHINGTON OFFICE

23373

PATENT TRADEMARK OFFICE

Date: April 24, 2003



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STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents Washington, D.C. 20231

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

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Substitute for Form 1449 A & B/PTO	Com	Complete if Known			
TIPE	Application Number	09/993,688			
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PR 1 1 2003 STATEMENT BY APPLICANT	First Named Inventor	Takushi TAKIZAWA			
(use as many sheets as necessary)	Art Unit	2834			
	Examiner Name	MULLINS, Burton S.			
RADE Rect 1 of 1	Attorney Docket Number	Q66754			

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number			
		Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US 3,991,152		11/09/1976	Santi et al.
		US 2002/0079772	Al	06/27/2002	Oohashi et al.
		US			

FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Foreign Patent Document			Publication Date		
Initials*	No.1	Country Code ³	Number ⁴	Kind Code (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		DE	19950418	A1	04/26/2001	ABB Patent Gmbh	Abstract
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	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
	Patent Abstract of Japan Patent 07231591; Mitsubishi Electric Corporation, August 29, 1995	
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Examiner Signature	Date	Considered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁶For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to indicate here if English language Translation is attached.